# Cataract Surgery Outcomes, Coverage, and Barriers in Tribal Areas of Gujarat and Maharashtra: A Comprehensive Analysis

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# ABSTRACT:

This study was done to estimate cataract surgery outcomes, surgical coverage and Barriers to availing surgery in rural/tribal areas of south Gujarat and Maharashtra. In districts of Gujarat and Maharashtra, where most of the population is tribal, door-to-door surveys have been conducted with standardized, validated questionnaires that collected data on cataract surgery outcomes, coverage, and barriers to acceptance. Visual impairment, surgical coverage, surgical details of age at surgery, cost, type, place, causes of low outcome post-surgery and satisfaction level with surgery were assessed. Out of 4,850 adults aged  $\geq$  50 years, 66.4% of all grades of visual impairment are due to cataracts, out of which 44.8% faced some barrier in accessing surgical intervention. This study further delves into assessment of cataract surgery outcomes in tribal areas, including visual acuity improvement, postoperative complications and patient satisfaction. Analyzing the coverage of surgery involves factors such as the availability of surgical facilities along with place, type and cost of surgery will be explored to understand the extent of coverage. This study is useful in health policy planning for cataract blindness and improvement in availability of surgical services to tribal populations to improve targeted healthcare development, community engagement and policy adjustments to foster more inclusive and equitable healthcare system ultimately.

#### Keywords: Cataract, Tribal, Gujarat, Maharashtra, India.

# **INTRODUCTION**:

With 66.2% of all occurrences of blindness being caused by cataracts, this condition is the most frequent cause of blindness globally. It constitutes a significant challenge regarding social expenses, economic loss, and human morbidity. [1]

- In most developing nations, including India, agerelated cataracts continue to cause blindness (80.1%). In Gujarat state, prevalence of bilateral cataract blindness had been 0.44% or 82.6% of population ≥50years. [2]
- Government blindness control programmes in Gujarat are excellent. Still, for nearby red zone tribal areas in the south-tribal district and Maharashtra, there were barriers to surgery like fear of surgery, travel cost, considering the surgery unnecessary, lack of

awareness, etc.

#### BACKGROUND:

- The study aims to evaluate surgical coverage (SC) as a measure of the presence or absence of high-quality surgical services effective cataract surgery coverage (eCSC).
- Additionally, cataract surgery outcomes to assess effectiveness of surgery, identifying barriers, compare with other regions, measure quality-of-life improvements, analyze healthcare interventions, and recommend better eye care strategies.
- Identifying barriers to undergoing surgery helps in understanding factors like lack of awareness, financial constraints, cultural beliefs, or accessibility issues.
- According to previous research, there is a significant

cataract backlog in rural/tribal communities. [3]

To evaluate cataract surgery coverage, results, and barriers to accessing them in tribal areas of Gujarat and Maharashtra, we performed current research as part of Rapid Assessment of Avoidable Blindness (RAAB) [4].

# **MATERIALS AND METHODS:**

- A "Door to door" survey has been conducted where patients were examined at their homes.
- Visual acuity (VA) measured through simplified y=No. eyes with operable cataracts. tumbling 'E' optotype chart held at 6m distance determined by pre-measured rope piece.
- With patient's approval, a direct ophthalmoscope has been employed for examining lenses, either with or without dilatation as required. If pinhole vision failed to improve to >6/12 or retinal disease had been short-acting identified. mydriatic eye drops (Tropicamide 0.5%) had been employed.
- It had been reported if lens appeared normal, cataractous, aphakic, pseudophakic (with or without posterior capsule opacification), or no view of the lens.
- Total population of research area has been 11,10,095.
- We evaluated individuals aged  $\geq$ 50y/o. Entire survey area has been classified as rural. Selected clusters were divided into segments, each containing 400 people, to yield segments with 50 individuals aged  $\geq$ 50y/o. Based on, this has been projections that 17% of Gujarat's population would be aged 50+ by 2011 (i.e., 17% of 400 gives 68 people aged 50y/o and above).

- (1)PVA BCVA utilized or have been for measuring prevalence of cataracts. Prevalence of cataract =Number of people having cataract ÷Total number of people examined
- (2) Following formula calculates CSC(cataract surgical coverage) for eyes and persons: CSC(eyes)(%)=x/(x+y),

In the equation,

- x=No. of eyes with pseudo(aphakia).
- CSC(persons) (%) = (a+b)/(a+b+c)
  - In the above equation,

a=No. of persons with unilateral pseudo(aphakia) and operable cataracts in other eyes

b=No. of persons with bilateral pseudo(aphakia) c=No. of persons with bilateral operable cataracts

(3) Barriers for getting cataract surgery is calculated in percentage.

#### A. Sampling strategy:

- 1. Compact cluster sampling (CSS) has been employed
- 2. A sampling frame has been created for the entire survey area.

Clusters have been selected via PPS(probability proportional to size) approach for systematic sampling from this sample frame.

#### B. <u>Questionnaire</u>:

| A. GENERAL INFORMAT                                | ON                          |                | Year - mo                                   | anth.          | TIT            |                |
|--|-----------------------------|----------------|---|----------------|----------------|----------------|
| Survey area:                                       | ON                          |                | Cluster:                                    |                | dividual no    |                |
| Name:  |                             |                | Sex: Male: O (1)                            |                | Age (years     |                |
|  |                             |                | Female: O (2)                               |                | - ge () care   |                |
| Optional 1:  | Examinatio                  | on status:     |   |                |                |                |
| Optional 2:  |                             | Examined: O    | (1) (go to B)                               | Refu           | used: O (3)    | (go to E)      |
|  | Not                         | available: O   | <ol> <li>(go to E) Not able to c</li> </ol> | ommuni         | cate: O (4)    | (go to E)      |
| Always ask: "Did you ever                          | have any pro                | blems with you | reves?" Yes: O (1) No:                      | O (2)          |                |                |
| If not available - details (avail                  |                             | -              | 100. O (I) 110.                             | 0 (1)          |                |                |
| B. VISION  |                             |                | C. LENS EXAMINATION                         |                | light our      | L off over     |
| Uses distance glasses:                             | No: O (1)                   | Yes: O (2)     | Normal lens / minimal lens opa              |                | O (1)          | O (1)          |
| Uses reading glasses:                              |                             |                | Obvious lens opacity:                       | acity.         | O (1)          | 0 (1)          |
| Uses reading glasses.                              | 140. 0 (1)                  | 165. 0 (2)     | Lens absent (aphakia):                      |                | O (3)          | O (3)          |
| Presenting vision                                  | Right eye                   | Left eye       | Pseudophakia without PCO:                   |                | O (4)          | O (4)          |
| Can see 6/12                                       | O (1)                       | O (1)          | Pseudophakia with PCO:                      |                | O (5)          | O (5)          |
| Cannot see 6/12                                    | 0 (1)                       | 0 (1)          | No view of lens:                            |                | O (6)          | O (6)          |
| but can see 6/18                                   | O (2)                       | O (2)          |   |                |                | /              |
| Cannot see 6/18                                    |                             |                |   |                |                |                |
| but can see 6/60                                   | O (3)                       | O (3)          | D. MAIN CAUSE OF PRESEN                     | TING V         | A<6/12         | Principal      |
| Cannot see 6/60                                    |                             |                | (Mark only one cause for each               | h eye)         |                | cause in       |
| but can see 3/60                                   | O (4)                       | O (4)          |   | ght eye        | Left eye       | person         |
| Cannot see 3/60                                    |                             | 10000          | Refractive error:                           | O (1)          | O (1)          | O (1)          |
| but can see 1/60                                   | O (5)                       | O (5)          | Aphakia, uncorrected:                       | O (2)          | O (2)          | O (2)          |
| Light perception (PL+)                             | O (6)                       | O (6)          | Cataract, untreated:                        | O (3)          | O (3)          | O (3) (F       |
| No light perception (PL-)                          | O (7)                       | O (7)          | Cataract surg. comp@cations:                | O (4)          | O (4)          | O (4)          |
|  |                             | 1 - 11         | Trachoma corneal opacity:                   | O (5)          | O (5)          | O (5)          |
| Pinhole vision<br>Can see 6/12                     | Right eye                   | Left eye       | Other corneal opacity:<br>Phthisis:         | O (6)          | O (6)          | O (6)          |
| Cannot see 6/12                                    | O (1)                       | O (1)          | Onchocerciasis:                             | O (7)<br>O (8) | O (7)<br>O (8) | O (7)<br>O (8) |
| but can see 6/12                                   | O (2)                       | O (2)          | Glaucoma:                                   | O (8)<br>O (9) | O (8)          | O (8)          |
| Cannot see 6/18                                    | 0 (2)                       | 0 (2)          | Diabetic retinopathy:                       | O (10)         | O (10)         | O (10)         |
| but can see 6/60                                   | O (3)                       | O (3)          | ARMD:                                       | O (11)         | O (11)         | O (11)         |
| Cannot see 6/60                                    | 0 (5)                       | 0 (3)          | Other posterior segment:                    | O (12)         | O (12)         | O (12)         |
| but can see 3/60                                   | O (4)                       | O (4)          | All globe/CNS abnormalities:                | O (13)         | O (13)         | O (13)         |
| Cannot see 3/60                                    |                             |                | Not examined: can see 6/12                  | O (14)         | O (14)         | O (14)         |
| but can see 1/60                                   | O (5)                       | O (5)          |   | 11.12          | 1000           |                |
| Light perception (PL+)                             | O (6)                       | O (6)          |   |                |                |                |
| No light perception (PL-)                          | O (7)                       | O (7)          | G. DETAILS ABOUT CAT                        | ARACT          | OPERATIO       | N              |
|  | 100-25-51 (1 <u>87</u> 7224 |                |   | R              | tight eye      | Left eye       |
|  |                             |                | Age at operation (years)                    |                |                |                |
| E. HISTORY, IF NOT EXA                             |                             |                | Place of operation                          |                | 20 mm          |                |
| (From relative or neighbo                          |                             |                | Government hospital                         |                | O (1)          | O (1)          |
| Believed   | Right eye                   | Left eye       | Voluntary / charitable hospi                | ital           | 0 (2)          | O (2)          |
| Not blind  | 0 (1)                       | O (1)          | Private hospital                            | log            | O (3)          | O (3)          |
| Blind due to cataract<br>Blind due to other causes | 0 (2)                       | 0 (2)          | Eye camp / improvised sett                  | ung            | O (4)          | 0 (4)          |
| Blind due to other causes<br>Operated for cataract | O (3)<br>O (4)              | O (3)<br>O (4) | Traditional setting<br>Type of surgery      |                | O (5)          | O (5)          |
| operated for cataract                              | 0 (4)                       | (a)            | Non IOL                                     |                | O (1)          | O (1)          |
|  |                             |                | IOL implant                                 |                | O (1)          | O (2)          |
| F. WHY CATARACT SUR                                | GERY WAS                    | NOT DONE       | Couching                                    |                | O (3)          | O (3)          |
| (Mark up to 2 responses,                           |                             |                | Cost of surgery                             |                | 0 (5)          | 0 (5)          |
| with pinhole, with visually                        |                             |                | Totally free                                |                | O (1)          | O (1)          |
| one or both eyes)                                  | in pairing toria            | op sony m      | Partially free                              |                | O (2)          | O (2)          |
|  |                             |                | Fully paid                                  |                | O (3)          | O (3)          |
| Need not felt                                      |                             | O (1)          | Cause of VA<6/12 after cata                 | aract su       |                |                |
| Fear of surgery or poor res                        | sult                        | O (2)          | Ocular comorbidity (Selecti                 |                | O (1)          | O (1)          |
| Cannot afford operation                            |                             | O (3)          | Operative complications (S                  | urgery)        | O (2)          | O (2)          |
| Treatment denied by provi                          |                             | O (4)          | Refractive error (Spectacle                 | s)             | O (3)          | O (3)          |
| Unaware that treatment is                          | possible                    | O (5)          | Longterm complications (S                   |                |                | O (4)          |
| No access to treatment                             |                             | O (6)          | Does not apply - can see 6                  | /12            | O (5)          | O (5)          |
| Local reason (optional)                            |                             | 0(7)           |   |                |                |                |

#### DISCUSSION:

#### A. Cataract Surgical Coverage:

Cataract surgical coverage varied based on visual acuity (VA) levels:

- For VA < 3/60, 84.9% of males and 77.7% of females received surgery, with an overall coverage of 80.8%.
- For VA < 6/60, 74.5% of males and 66.0% of females were covered, totalling 69.5%.
- For VA < 6/18, 52.0% of males and 43.8% of females underwent surgery, with overall coverage at 47.1%.

Surgical coverage was higher in males across all categories but decreased as the vision threshold became less severe. [1]

| VA Level  | Males (%) | Females (%) | Total (%) |  |  |  |  |  |  |
|-----------|-----------|-------------|-----------|--|--|--|--|--|--|
| VA < 3/60 | 84.9      | 77.7        | 80.8      |  |  |  |  |  |  |
| VA < 6/60 | 74.5      | 66.0        | 69.5      |  |  |  |  |  |  |
| VA < 6/18 | 52.0      | 47.1        | 43.8      |  |  |  |  |  |  |

#### **TABLE I: CATARACT SURGERY COVERAGE**

# B. <u>Outcomes After Cataract Surgery with Available Correction</u>:

After cataract surgery, 66.1% achieved very good vision (6/12), while 19.8% had good vision (6/18). 6.8% had borderline vision (6/60), and 7.3% had poor vision (worse than 6/60).

Females had better outcomes, with **73.2%** attaining **very good vision**, compared to **58.2%** of males. Poor vision was slightly higher in males (**8.8%**) than in females (**6.0%**).

Most patients experienced successful vision restoration, but a small percentage remained with suboptimal outcomes.

# TABLE II: OUTCOMES AFTER CATARACT SURGERY WITH AVAILABLE CORRECTION (GENDER WISE DISTRIBUTION)

| Outcome                  | Males (N=273) | %     | Females (N=302) | %     | Total (N=575) | %     |
|--------------------------|---------------|-------|-----------------|-------|---------------|-------|
| Very Good: Can see 6/12  | 159           | 58.2% | 221             | 73.2% | 380           | 66.1% |
| Good: Can See 6/18       | 63            | 23.1% | 51              | 16.9% | 114           | 19.8% |
| Borderline: Can see 6/60 | 27            | 9.9%  | 12              | 4.0%  | 39            | 6.8%  |
| Poor: Cannot see 6/60    | 24            | 8.8%  | 18              | 6.0%  | 42            | 7.3%  |
| Total                    | 273           | 100   | 302             | 100   | 575           | 100   |

#### C. <u>Causes of Poor Vision (PVA <6/12) After Cataract Surgery:</u>

Poor vision outcomes after cataract surgery were linked to several factors:

- Selection Issues: 61.1% had poor vision due to pre-existing conditions, 13.9% had good vision, and 25.0% had borderline vision.
- **Surgical Factors**: **75.0%** of borderline cases were due to surgical complications, though no cases resulted in poor vision.
- Need for Spectacles: 92.2% of good vision cases and 6.3% of borderline cases were due to uncorrected refractive errors.
- **Post-Surgical Complications**: **53.8%** of good vision and **25.3%** of borderline cases were affected, while **20.9%** experienced poor vision.

Pre-existing conditions, uncorrected refractive errors, and post-surgical complications were the main causes of poor vision. However, 100% of those with very good vision (6/12 or better) had no issues from these factors.

| Cause        | Selectio n | %    | Surgery | %    | Spectacle s | %    | Sequela e | %    | Can  | %     |
|--------------|------------|------|---------|------|-------------|------|-----------|------|------|-------|
|              | (N)        |      | (N)     |      | (N)         |      | (N)       |      | See  |       |
|              |            |      |         |      |             |      |           |      | 6/12 |       |
|              |            |      |         |      |             |      |           |      | (N)  |       |
| Very Good:   | 0          | 0.0  | 0       | 0.0  | 0           | 0.0  | 0         | 0.0  | 380  | 100.0 |
| Can see      |            |      |         |      |             |      |           |      |      |       |
| 6/12         |            |      |         |      |             |      |           |      |      |       |
| Good: Can    | 5          | 13.9 | 1       | 25.0 | 59          | 92.2 | 49        | 53.8 | 0    | 0.0   |
| See 6/18     |            |      |         |      |             |      |           |      |      |       |
| Borderline:  | 9          | 25.0 | 3       | 75.0 | 4           | 6.3  | 23        | 25.3 | 0    | 0.0   |
| Can see 6/60 |            |      |         |      |             |      |           |      |      |       |
| Poor: Cannot | 22         | 61.1 | 0       | 0.0  | 1           | 1.6  | 19        | 20.9 | 0    | 0.0   |
| see          |            |      |         |      |             |      |           |      |      |       |
| 6/60         |            |      |         |      |             |      |           |      |      |       |
| Total        | 36         | 100  | 4       | 100  | 64          | 100  | 91        | 100  | 380  | 100   |

#### TABLE III: CAUSES OF POOR VISION OUTCOME (PVA <6/12) AFTER CATARACT SURGERY

## D. <u>Barriers to Cataract Surgery (Bilateral BCVA <6/60)</u>:

- 22% of individuals did not feel the need for surgery.
- **16.5%** cited fear as a reason for not undergoing surgery.
- 14.3% mentioned cost as a barrier.
- 2.2% were denied treatment by the provider.
- 15.9% were unaware treatment was possible.
- **29.1%** could not access treatment.

#### TABLE IV. BARRIERS TO CATARACT SURGERY (BILATERAL BCVA <6/60)

| Barrier            | Male  | %   | Female | %   | Total | %    |
|--------------------|-------|-----|--------|-----|-------|------|
|                    | s (N) |     | s (N)  |     | (N)   |      |
| Need not           | 19    | 29. | 21     | 17. | 40    | 22.0 |
| felt               |       | 2   |        | 9   |       |      |
| Fear               | 7     | 10. | 23     | 19. | 30    | 16.5 |
|                    |       | 8   |        | 7   |       |      |
| Cost of            | 8     | 12. | 18     | 15. | 26    | 14.3 |
| travel             |       | 3   |        | 4   |       |      |
| Treatment          | 0     | 0.0 | )4     | 3.4 | 4     | 2.2  |
| denied by provider |       |     |        |     |       |      |
| Unaware treatment  | 11    | 16. | 18     | 15. | 29    | 15.9 |
| is                 |       | 9   |        | 4   |       |      |
| possible           |       |     |        |     |       |      |
| Cannot access      | 20    | 30. | 33     | 28. | 53    | 29.1 |
| treatment          |       | 8   |        | 2   |       |      |
| Total              | 65    | 100 | )117   | 100 | 182   | 100  |

#### E. Barriers to Cataract Surgery (Unilateral BCVA <6/60)

- 41.6% did not perceive the need for surgery.
- 14.2% avoided surgery due to fear.
- 14.7% reported cost as a limiting factor.
- 2.1% were denied treatment by the provider.
- **10.9%** were unaware of treatment options.
- **16.5%** could not access treatment.

#### TABLE V. BARRIERS TO CATARACT SURGERY (UNILATERAL BCVA <6/60)

| Barrier              | Males (N) | %    | Females (N) | %    | Total (N) | %    |
|----------------------|-----------|------|-------------|------|-----------|------|
| Need                 | 50        | 43.9 | 91          | 40.4 | 141       | 41.6 |
| not felt             |           |      |             |      |           |      |
| Fear                 | 16        | 14.0 | 32          | 14.2 | 48        | 14.2 |
| Cost of              | 17        | 14.9 | 33          | 14.7 | 50        | 14.7 |
| travel               |           |      |             |      |           |      |
| Treatment            | 3         | 2.6  | 4           | 1.7  | 7         | 2.1  |
| denied by provider   |           |      |             |      |           |      |
| Unaware treatment is | 11        | 9.6  | 26          | 11.6 | 37        | 10.9 |
| possible             |           |      |             |      |           |      |
| Cannot access        | 17        | 14.9 | 39          | 17.3 | 56        | 16.5 |
| treatment            |           |      |             |      |           |      |
| Total                | 114       | 100  | 225         | 100  | 339       | 100  |

### CONCLUSION:

The National Rural Blindness Survey (2007-08) estimates that the prevalence of blindness is five times higher in the tribal areas of Gujarat and Maharashtra. [2]

However, the leading cause of blindness remains cataracts. [2] This study provides an important perspective on cataract surgery coverage, surgical outcomes, and barriers.

81% of blindness can be treated through cataract surgeries at secondary or tertiary levels, 8% require rehabilitation or low vision services, 7% require primary eye care services, and 4% require ophthalmic services at tertiary levels. [3]

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE: N/A.

## **LIST OF ABBREVIATIONS**:

- **1.** BCVA: Best corrected visual acuity
- **2.** VA: Visual acuity
- **3.** CSS: Compact cluster sampling
- 4. Sc: Surgical coverage
- **5.** PPS: Probability proportional to size
- **6.** Ecsc: Effective cataract surgical coverage
- 7. PVA: Presenting visual acuity

# DATA AVAILABILITY STATEMENT:

No additional source data is required since all of the data supporting the results has been included in the article.

#### **CONFLICTS OF INTEREST:** N/A.

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